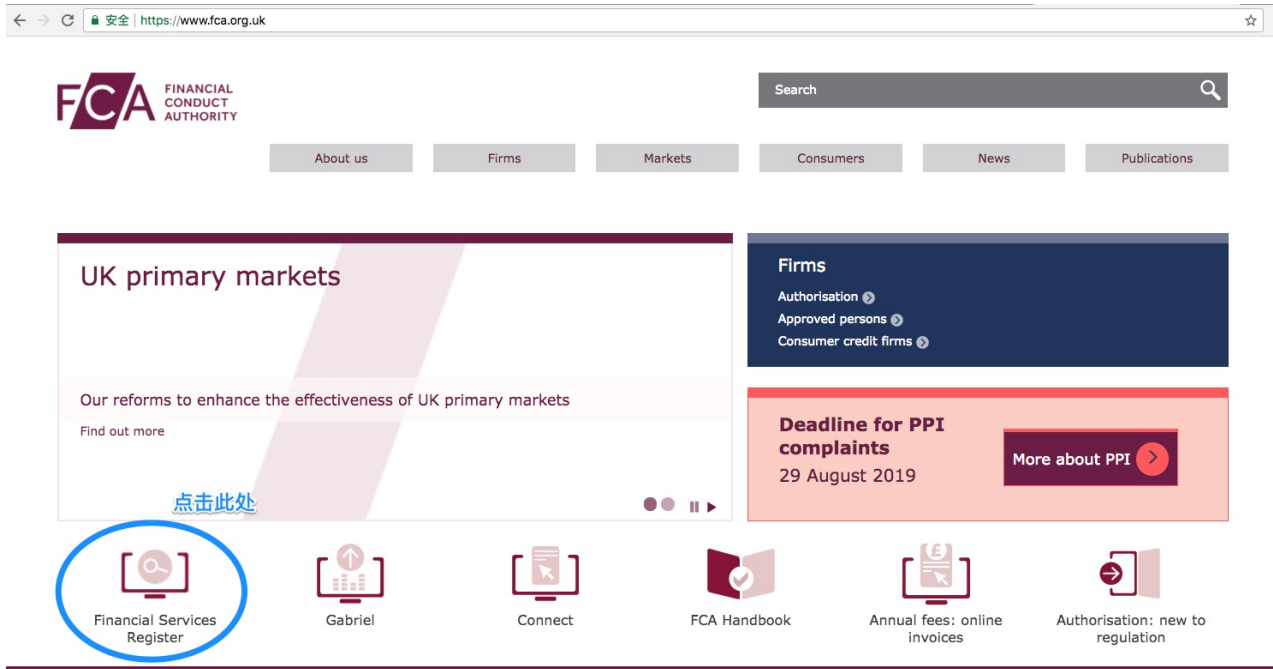




如何在英国金融市场行为监管局 (FCA)网站查找 ALPHA 的监管信息

ACM Group LTD接受英国金融市场行为监管局Financial Conduct Authority的授权与监管，FCA编号：**441689**，您可以在FCA官方网站查阅我们的注册信息，我们已接受英国当局监管超过10年：

第一步：访问FCA官方网站<https://www.fca.org.uk/>，点击网站首页中部左侧的按钮"Financial Services Register"查询注册信息。或直接访问<https://register.fca.org.uk>



第二步：如果是大陆用户，这一步可能需要填写验证码。而大陆用户可能无法直接看到谷歌插件生成的验证码，您可能需要借助代理服务器等工具。如您需要进一步帮助，请联系我们的账户服务专员。



One more step

Please complete the security check to access register.fca.org.uk

Why do I have to complete this step?

Completing the above challenge proves that you are a human and gives you temporary access to the site

What can I do to prevent this in the future?

If you are on a personal connection, like at home, you can run an anti-virus scan on your device to make sure it is not infected with malware.

If you are at an office or shared network, you can ask the network administrator to run a scan across the network looking for misconfigured or infected devices

[Contact us](#)

ACM Group LTD (“Alpha”)

伦敦总部: The Broadgate Tower, 20 Primrose Street, London, EC2A 2EW, United Kingdom

免费热线: 400 650 3212 邮箱: info@alphacapitalchina.com 中文网站: <https://www.alphacapitalchina.com/>

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ALPHA

完成验证后，在搜索框中输入我们的 FCA 号码：**441689** 或直接输入 **ACM GROUP LTD**



The Financial Services Register

Search the Financial Services Register

441689

Advanced Search by business type and current status Exchanges, markets and prohibited individuals

点击 “Search the Register” 按钮继续。

第三步：在搜索结果显示页面上，点击“ACM GROUP LTD”，您便可查阅我们集团在 FCA 的注册信息。同时，您可以看到截图中用红色标注的地方，分别表明我们适用于“英国金融督察服务”与“英国金融服务补偿计划”。在我们未能履行应尽义务时，可申请获得最高 **50,000** 英镑赔偿。以及我们可以代表客户持有其资金。

ACM GROUP LTD

Status: Authorised

(Reference number: 441689)

This is a firm that is given permission to provide regulated products and services

- ✓ The [Financial Ombudsman Service](#) may be able to consider a dispute with this firm. Find out [how to complain](#).
- ✓ The [Financial Services Compensation Scheme \(FSCS\)](#) may be able to compensate customers if this firm fails. See [how to claim compensation](#).
- ℹ [Read about how this firm may be able to hold or control money from its customers](#)

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ALPHA

注意：FCA 为了保护 ACM GROUP LTD 的权益，在查询页告知用户，有一家叫 Alpha Capital Limited 的公司试图克隆 我们的信息，请广大客户注意防范。

Warning / Cloned firms

Individuals are using the details of this firm to suggest they work for the genuine firm. We call this a '[cloned firm](#)' and it is typically part of a scam.

To contact the genuine firm you should call the switchboard number listed on the Register – and [contact us](#) if this is not provided. Find out more about the clone firm(s):

[Alpha Capital Limited](#),

第四步：向下滚动网页至 Regulators 栏目，“Regulator name”显示监管方名称。可以看到我们公司从 2006 年起接受英国金融监管当局的授权与监管。此处也可以清晰看到 2013 年英国 FSA 改组为 FCA。

Regulators

This table shows the former and current regulators of this firm, in the UK and in other [European Economic Area \(EEA\)](#).

Regulator Name	Effective From	Effective To
Financial Conduct Authority	01/04/2013	
Financial Services Authority	30/03/2006	31/03/2013

第五步：继续滚动网页至下方 Permission 栏目，“Client Money” 下方的文字，显示我们具备持有客户资金的权力，且必须保护所持有的客户资金，不得用于其它用途。

Permission

An authorised firm will have permission to provide certain [regulated products and services](#).

Client Money

此机构必须保护其代表客户持有的相关资金。并且不能将资金出借或用于公司自身的业务。

This firm must protect the money it holds and/or controls on behalf of customers. It cannot lend this money or use it to finance its own business.

Specific requirements may change this firm's ability to hold and/or control client money – see below for details.

下方还能看到关于我们具体的业务范围，涵盖外汇、差价合约、债券、期货、期权、股票等诸多资产类别。

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ALPHA

Arranging (bringing about) deals in investments
Customer Type
Eligible Counterparty
Professional
Retail (Investment)
Investment Type
Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Debenture
Future (excluding a commodity future and a rolling spot forex contract)
Government and public security
Option (excluding a commodity option and an option on a commodity future)
Rights to or interests in investments (Contractually Based Investments)
Rights to or interests in investments (Security)
Rolling spot forex contract
Share
Spread Bet
Unit
Limitation
<u>Rights to or interests in (both).</u>

第六步：继续向下滚动网页至 Trading/brand names 栏目，“Current names”显示当前使用的品牌及注册名称。我们公司注册名称为 ACM GROUP LTD，交易品牌为 Alpha Capital Markets。“Previous names”显示先前我们使用的品牌及注册名称。

Trading/brand names

A firm may use several trading names or brand names under the same permission. This list shows the names that have been reported to us, but you may want to contact the firm for full details

 Current names:		
Status	Name	Effective From
Registered	ACM GROUP LTD	19/04/2016
Trading	Alpha Capital Markets	19/04/2016

Previous names:

ACM Group LTD (“Alpha”)

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